



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s):

Wu, et al.

SC/Serial No.: 09/738,474

Filed: December 13, 2000

Title: Convenient and Secure Purchasing  
Over A Computer Network

PATENT APPLICATION

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name; I believe that I am the original, first and sole inventor (if one name is listed below), first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Convenient and Secure Purchasing Over A Computer  
Network

the specification of which

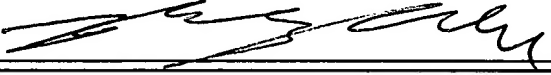
\_\_\_\_\_ is filed herewith;


X was filed with the above-identified "Filed" date and "SC/Serial No."

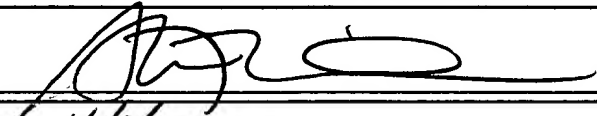
\_\_\_\_\_ was amended on (or amended through) \_\_\_\_\_.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above. I acknowledge the duty to disclose information which is material to the examination of the application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

(1)	Full name of sole or first inventor:	Jackie Zhanhong Wu
(1)	Residence:	1055 Carson Drive Sunnyvale, California 94086
(1)	Post Office Address:	(Same)
(1)	Citizenship:	US
(1)	Inventor's signature:	
(1)	Date:	4/3/2001

(2)	Full name of second inventor:	William W. Rose
(2)	Residence:	16335 Sanborn Road Saratoga, California 95070
(2)	Post Office Address:	(Same)
(2)	Citizenship:	US
(2)	Inventor's signature:	
(2)	Date:	4/3/2001

(3)	Full name of third inventor:	Steven T. Kirsch
(3)	Residence:	13930 LaPaloma Road Los Altos Hills, California 94022
(3)	Post Office Address:	(Same)
(3)	Citizenship:	US
(3)	Inventor's signature:	
(3)	Date:	4/3/01

(4)	Full name of fourth inventor:	Satish Natarajan
(4)	Residence:	2504 Johnson Place Santa Clara, California 95050
(4)	Post Office Address:	(Same)
(4)	Citizenship:	India
(4)	Inventor's signature:	Satish Natarajan
(4)	Date:	04/03/2001

(5)	Full name of fifth inventor:	Russell D. Wyllie
(5)	Residence:	113 Mercy Street Mountain View, California 94041
(5)	Post Office Address:	(Same)
(5)	Citizenship:	US
(5)	Inventor's signature:	<i>R. D. Wyllie</i>
(5)	Date:	4-3-2001

(6)	Full name of sixth inventor:	Charles Kline
(6)	Residence:	23820 Putter Way Los Altos, California 95024
(6)	Post Office Address:	(Same)
(6)	Citizenship:	US
(6)	Inventor's signature:	<i>Charles Kline</i>
(6)	Date:	3/29/2001



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application

PATENT APPLICATION

Inventor(s): Wu, et al.  
SC/Serial No.: 09/738,474  
Filed: December 13, 2000  
Title: Convenient and Secure Purchasing Over A  
Computer Network

POWER OF ATTORNEY BY ASSIGNEE UNDER 37 C.F.R. §§3.71, 3.73(b)

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

The below-identified Assignee hereby appoints Gerald B. Rosenberg, Reg. No. 30,320 to prosecute this application and transact all business in the United States Patent & Trademark Office connected therewith; said appointment to be to the exclusion of any and all inventors and their attorneys in accordance with the provisions of 37 C.F.R. §3.71.

Pursuant to 37 C.F.R. §3.71(b), the undersigned certifies that Assignee is the owner of the entire right, title and interest in the above-identified patent application by virtue of an assignment from the inventors to Assignees and that:

\_\_\_\_\_ The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frames \_\_\_\_\_.

X A true copy of the assignment is attached hereto, the original of which has been, or is herewith, forwarded to the United States Patent and Trademark Office for recording.

The assignment has been reviewed and to the best of the undersigned's knowledge and belief, title to the above-identified patent application is in the Assignee. The undersigned, whose title is supplied below, is empowered to sign this certification on behalf of the Assignee.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Please address all correspondence to:

Gerald B. Rosenberg  
NewTechLaw  
285 Hamilton Avenue, Suite 520  
Palo Alto, California 94301

Telephone: 650.325.2100  
Facsimile: 650.325.2107



23488  
PATENT TRADEMARK OFFICE

Assignee: Propel Software Corporation  
Assignee Type: Corporation (Corporation, Partnership, etc.)  
Signor's Name: Andrew E. Newton, Esq.  
Signor's Title: Vice President and General Counsel (Corporate Office or Position)  
Signature: [Signature] Date: 3/7/01



## JOINT TO CORPORATE ASSIGNMENT

WHEREAS, the undersigned (hereinafter the "Inventors"),

- |                        |                      |
|------------------------|----------------------|
| (1) Jackie Zhanhong Wu | (2) William W. Rose  |
| (3) Steven T. Kirsch   | (4) Satish Natarajan |
| (5) Russell D. Wyllie  | (6) Charles Kline    |

respectively, have invented certain new and useful improvements in:

### Convenient and Secure Purchasing Over A Computer Network

and have executed a declaration or oath for an application for a United States patent disclosing and identifying the invention:

1. \_\_\_\_\_ (1) On \_\_\_\_\_; (2) On \_\_\_\_\_;  
(3) On \_\_\_\_\_; (4) On \_\_\_\_\_;  
(5) On \_\_\_\_\_; (6) On \_\_\_\_\_;  
(dates) respectively;

-- OR --

2.   X   Said application having SC/Serial Number 09/738,474 and  
filed on December 13, 2000.

WHEREAS Propel Software Corporation (hereinafter the "Assignee"), a corporation of the State of California having a place of business at 1010 Rincon Circle, San Jose, State of California wishes to acquire the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered jointly or severally by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, certificates of invention and other forms of protection thereon (hereinafter termed "patents") applied for or granted in the United States and/or other countries.

NOW THEREFORE, for good and valuable consideration acknowledged by each of said Inventors to have been received in full from said Assignee:

1. Said Inventors do hereby sell, assign, transfer and convey to said Assignee, the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply in any or all countries of the world for patents, certificates of inventions or other governmental grants on said invention, including the right to apply for patents pursuant to the International Convention for the Protection of Industrial Property or pursuant to any other convention, treaty, agreement or understanding; (c) in and to any and all applications filed and any and all patents, certificates of inventions or other governmental grants granted on said invention in the United States or any other country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications; (d) in and to each and every reissue or extension of any of said patents; and (e) in and to each and every patent claim resulting from a reexamination certificate for any and all of said patents.

2. Said Inventors hereby jointly and severally covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United

States and other countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, executing of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for complying with any duty of disclosure; (c) for prosecuting any of said applications; (d) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (e) for filing and prosecuting applications for reissue of any of said patents; (f) for interference or other priority proceedings involving said invention; and (g) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, reexamination proceedings, compulsory licensing proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee.

3. The terms and covenants of this Assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their respective heirs, legal representatives and assigns.

4. Said Inventors hereby jointly and severally warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Inventors have executed and delivered this instrument to said Assignee on the date of acknowledgment before the Notary Public as given below.

\*\*\*\*\*

(1) [Signature]  
Inventor's Signature: Jackie Zhanhong Wu

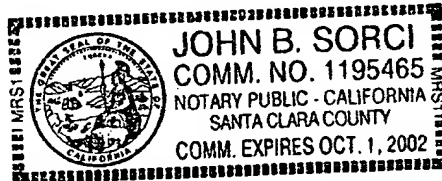
State of California  
County of Santa Clara

On 3 April 2001 before me, JOHN B. SORCI  
(name and title of officer)

personally appeared JACKIE ZHANHONG WU, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Signature John B Sorci



\*\*\*\*\*

(2) William W. Rose  
Inventor's Signature: William W. Rose

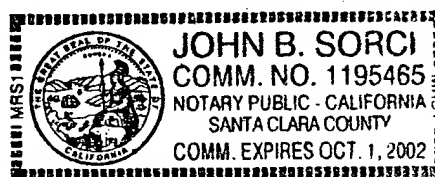
State of California  
County of Santa Clara

On 3 April 2001 before me, JOHN B. SORCI  
(name and title of officer)

personally appeared WILLIAM W. ROSE, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Signature John B. Sorci



(3) Steven T. Kirsch  
Inventor's Signature: Steven T. Kirsch

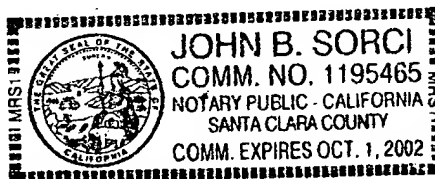
State of California  
County of Santa Clara

On 3 April 2001 before me, JOHN B. SORCI  
(name and title of officer)

personally appeared STEVEN T. KIRSCH, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Signature John B. Sorci



(4) Satish Natarajan  
Inventor's Signature: Satish Natarajan

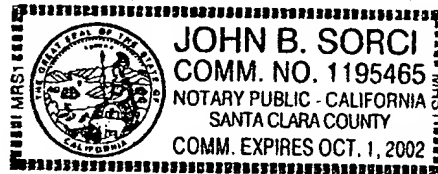
State of California  
County of Santa Clara

On 3 April 2001 before me, JOHN B. SORCI  
(name and title of officer)

personally appeared SATISH NATARAJAN, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Signature John B. Sorci



(5) Russell D. Wyllie  
Inventor's Signature: Russell D. Wyllie

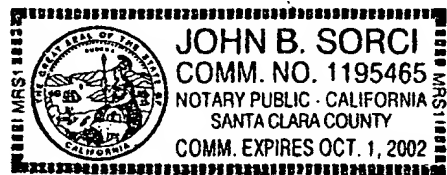
State of California  
County of Santa Clara

On 3 April 2001 before me, JOHN B. SORCI  
(name and title of officer)

personally appeared RUSSELL D. WYLLIE, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Signature John B. Sorci



\*\*\*\*\*  
(6) Charles Kline  
Inventor's Signature: Charles Kline

State of California  
County of Santa Clara

On 29 March 2001 before me, JOHN B. SORCI  
(name and title of officer)

personally appeared CHARLES KLINE, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Signature John B Sorci

